

12/12/2003

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APPLICATION NUMBER FILING OR 371 (e) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

Larry Brown

007663 STETINA BRUNDA GARRED & BRUCKER 75 ENTERPRISE, SUITE 250 ALISO VIEJO, CA 92656

10/734,953

CONFIRMATION NO. 1455
FORMALITIES LETTER
\*OC000000012154818\*

Date Mailed: 03/22/2004

HENTE-088A

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

08/17/2004 FFANAIA2 00000079 10734953

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

TATTORNEY DOCKET NO .: HENTE-088A

# Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

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(Signature)

Lisa Li
(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- 1. Transmittal
- 2. Fee Transmittal
- 3. Petition For Extension of Time under 37 CFR 1.136(a)
- 4. Notice to File Missing Parts
- 5. Response to Notice to File Missing Parts
- 6. Signed Declaration for Utility Patent Application (2 pgs)
- 7. Assignment Recordation Coversheets (2 pgs)
- 8. A copy of Assignment (2 pgs)
- 9. Power of Attorney
- 10. Statement Under 37 CFR 3.73 (b)
- 11. A copy of Assignment (2 pgs)
- 12. A check of \$580.00
- 13. A Return Postcard.

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/734,953 **TRANSMITTAL** Filing Date 12/12/2003 FORM First Named Inventor Larry D. Brown Art Unit 3632 (to be used for all correspondence after initial filing) **Examiner Name** Unknown Attorney Docket Number HENTE-088A Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication  $\mathbf{x}$ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request see remarks Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority A copy of Notice to File Missing Parts, Signed Declaration for Utility Patent Document(s) Application, Assignment Recordation Coversheets, copies of assignment, Response to Missing Parts/ Statement under 3.73(b); and a return postcard.  $\mathbf{x}$ Incomplete Application Response to Missing Parts X under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lowell Anderson Individual name STETINA BRUNDA GARRED & BRUCKER Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Washington, DC 20231 on this date:

Typed or printed name

Lisa Li

Signature

Date \$11/5

This collection of information is required by 37 CFR 1.5. (be information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
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aperwork Reduction Act of 1995, no persons are required to re

# TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 $\overline{\mathrm{X}}$  Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)580.00

spond to a confection of information chiess it displays a valid Civib control number.						
Complete if Known						
Application Number	10/734,953					
Filing Date	12/12/2003					
First Named Inventor	Larry D. Brown					
Examiner Name	Unknown					
Art Unit	3632					
Attorney Docket No.	HENTE-088A					

1202 18	METHOD OF PAYMENT (check all that ap	ply)	FEE CALCULATION (continued)					
Deposit Account		None 3	3. ADDITIONAL FEES				_	
Page								
19-4330   1051   130   2051   15   5   5   5   5   5   5   5   5							Fee Description	
Deposit	Account 19-4330			` '				
The Director is authorized to: (check all that apply)	Denosit	<del>-</del> 1.					•	65.00
The Director is authorized to: (check all that apply)	Account   Stetina Brunda Garred & Bruc	ker 1	1052	50	2052	25		
Charge fee(s) indicated below     Credit any overpayments   1812   2,520   1812   2,520   For filing a request for exparte reexamination     1804   920*     1804   920*     1804   920*     1804   1805   1,840*		1	1053	130	1053	130	Non-English specification	
Scharge any additional fee(s) or any underpayment of fee(s)   1804 920* Requesting publication of SIR prior to Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requesting publication of SIR after Examiner action   1805 1,840* Requestion of reply within first month   1805 1,840* Requestion for reply within first month   1806 Examiner action   1805 1,840* Requestion for reply within first month   1805 1,840* Requestion for reply within first month   1806 Examiner action   1805 1,840* Requestion for reply within first month   1805 1,840* Requestion for reply within first month   1805 1,840* Requestion for reply within first month   1806 Examiner action   1805 1,840* Requestion for reply within first month		ayments 1	812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Total Claims			1804	9201	1804	920*		
1. BASIC FILING FEE   Large Entity   Small Entity   Fee	Charge fee(s) indicated below, except for the filing fee	1	1805	1,840	1805	1,840*	Requesting publication of SIR after	
1. BASIC FILING FEE   Large Entity   Small Entity   Fee	to the above-identified deposit account.							
1. BASIC FILING FEE Large Entity   Small Entity	FEE CALCULATION						• •	
Total Claims	1. BASIC FILING FEE			420	2252		• •	475.00
Code (\$)			1253	950	2253	475	Extension for reply within third month	4/3.00
1001 770   2001 385		ee Paid 1	1254	1,480	2254	740	Extension for reply within fourth month	
1003 530   2003 265   Plant filing fee   1402 330 2402 165   Filing brief in support of an appeal 1403 290 2403 145   Request for oral hearing 1451 1,510   Petition to institute a public use proceeding 1452 110 2452 55   Petition to revive - unavoidable 1453 1,330 2453 665   Petition to revive - unintentional 1501 1,330 2501 665   P		1 1	1255 2	2,010	2255	1,005	Extension for reply within fifth month	
1004 770   2004 385   Reissue filing fee   1451 1,510   1451 1,510   Petition to revive - unavoidable   1452 110   1452 110   1452 110   1452 110   1453 1,330   1458   Request for oral hearing   1451 1,510   Petition to revive - unavoidable   1452 110   1452 110   1452 110   1452 110   1452 110   1453 1,330   1458   Petition to revive - unavoidable   1453 1,330   1458   Petition to revive - unintentional   1451 1,510   Petition to revive - unavoidable   1453 1,330   1458   Petition to revive - unintentional   1451 1,510   Petition to revive - unavoidable   1453 1,330   1458   Petition to revive - unintentional   1451 1,510   Petition to revive - unavoidable   1453 1,330   1458   145	1002 340 2002 170 Design filing fee	1	1401	330	2401	165	Notice of Appeal	
1005 160 2005 80 Provisional filing fee SUBTOTAL (1) (\$) 0 1451 1,510 Petition to institute a public use proceeding SUBTOTAL (1) (\$) 0 1452 110 2452 55 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 2452 110 2452 55 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2453 1,330 2501 665 Petition to revive - unintentional 2450 2501 2501 2501 2501 2501 2501 2501 25	1003 530 2003 265 Plant filing fee	1	1402	330	2402	165	Filing brief in support of an appeal	
SUBTOTAL (1) (\$) 0  2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE    Extra Claims	1004 770 2004 385 Reissue filing fee	1	1403	290	2403	145	Request for oral hearing	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE    Total Claims	1005 160 2005 80 Provisional filing fee	1	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   Extra Claims	SUBTOTAL (1) (\$) 0		1452	110	2452	55	Petition to revive - unavoidable	
Extra Claims Independent Claims Multiple Dependent Large Entity   Small Entity Fee Fee Code (\$) 1202 18 2202 9 Claims in excess of 20 1203 290 2203 145 Multiple dependent claims over original patent  Extra Claims Fee From below Fee Paid 1502 480 1502 480 1502 480 1502 480 1503 320 Plant issue fee 1503 320 Plant issue fee 1460 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 Submission of Information Disclosure Stmt 8021 40 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1204 86 2204 43 "Reissue independent claims over original patent"  1801 770 2801 385 Request for Continued Examination (RCE)				1,330	2453	665	Petition to revive - unintentional	
Total Claims Independent Claims Multiple Dependent  Large Entity   Small Entity Fee Fee Code (\$)   1202   18   2202   9   Claims in excess of 20   1201   86   2201   43   Independent claims in excess of 3   1203   290   2203   145   Multiple dependent claims over original patent   1801   770   2801   385   Request for Continued Examination (RCE)   1802   240   Design issue fee   1503   640   2503   320   Plant issue fee   1460   130   Petitions to the Commissioner   1460   130   Petitions to the Commissioner   1807   50   Processing fee under 37 CFR 1.17(q)   1807   50   Processing fee under 37 CFR 1.17(q)   1806   180   180   Submission of Information Disclosure Stmt   1806   180		EISSUE 1	1501	1,330	2501	665	Utility issue fee (or reissue)	
Independent Claims Multiple Dependent  Large Entity   Small Entity   Fee   Fee   Fee   Code (\$)   1202   18   2202   9   Claims in excess of 20   1201   86   2201   43   Independent claims in excess of 3   1203   290   2203   145   Multiple dependent claims in excess of 3   1204   86   2204   43   ** Reissue independent claims over original patent    Independent   1303   640   2203   320   Plant issue fee   1460   130   1460   130   Petitions to the Commissioner   1807   50   Processing fee under 37 CFR 1.17(q)   1806   180   Submission of Information Disclosure Stmt   8021   40   8021   40   8021   40   8021   40   8021   40   8021   802	I	Fee Paid 1	1502	480	2502	240	Design issue fee	
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Large Entity   Small Entity   Fee Fee   Fee Fee   Code (\$)   1202 18   2202 9   Claims in excess of 20   1201 86   2201 43   Independent claims in excess of 3   1203 290   2203 145   Multiple dependent claims over original patent   1204 86   2204 43   Reissue independent claims over original patent   1807 50   1807 50   Processing fee under 37 CFR 1.17(q)   1806 180   Submission of Information Disclosure Stmt   8021 40   8021 40   Recording each patent assignment per property (times number of properties)   1809 770   2809 385   Filing a submission after final rejection (37 CFR 1.129(a))   1810 770   2810 385   For each additional invention to be examined (37 CFR 1.129(b))   1809 770   2810 385   For each additional invention to be examined (37 CFR 1.129(b))	Claims - 3** = - 1 1 1	1	1460	130	1460	130	Petitions to the Commissioner	
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1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 2801 385 Request for Continued Examination (RCE)	1.2.2		1809	770	2809	385	Filing a submission after final rejection	
1204 86 2204 43 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE)							, , , , , , , , , , , , , , , , , , , ,	
over original patent 1801 770 2801 385 Request for Continued Examination (RCE)			1810	770	2810	385		
Long to Delegate deliver in successful 1802 000 1803 000 Degreet for exactly described			1801	770	2801	385	· · · · · · · · · · · · · · · · · · ·	
1205 18 2205 9 Reissue claims in excess of 20 1802 900 Request for expedited examination and over original patent of a design application	1205 18 2205 9 ** Reissue claims in excess and over original patent	s of 20 1	1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)	SUBTOTAL (2) (\$) 0			fee (sp	ecify)_			
		·	*Redu	ced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	105.00

SUBMITTED BY				(Complete (	'if applicable)	
Name (Print/Type)	Lowell Anderson	Registration No. (Attorney/Agent)	30,990	Telephone	(949) 855-1246	
Signature	Lowell Sheer	~		Date	8/11/04	

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Application Serial No.: 10/734,953
Response to Notice to File Missing Parts of 03/22/2004
Actorney's Docket No.: HENTE-088A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Larry D. Brown et al.	)	Confirmation	No.: 1455
Serial No.:	10/734,953	)	Group No.:	3632
Filed:	12/12/2003	)	Examiner:	Unknown
For:	PIPE AND CABLE HOLDER	)		

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Assistant Commissioner:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 15, 2004 in relation to the above-identified Application, Applicants hereby submit a signed Declaration for Utility Patent Application under 37 CFR 1.63.

Respectfully submitted,

Date: 8/11/04

By:

Customer No.: 007663

Lowell Anderson

Registration No. 30,990

STETINA BRUNDA GARRED & BRUCKER

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